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FROM: Caroline J Yun DIRECT DIAL: (852) 2846 2453
DATE: 6 March 2002 E-MAIL: caroline.j.yun@bakernet.com
RE: Hong Kong University of Science & Technology
 US Patent Application for "Compositions and Methods for the Targeted Delivery of
 Agents To Treat Liver Cancer"
 Serial No. 09/827,255

Our Ref: CJY/32144183-001336

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 (2) Amendment and Response;
 (3) Version With Markings To Show Changes Made; and
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TO: United States Patent & Trademark
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FROM: Caroline J. Yuen

DATE: 6 March 2002

RE: Hong Kong University of Science & Technology
US Patent Application for "Compositions and Methods for the Targeted Delivery of
Agents To Treat Liver Cancer"
Serial No. 09/827,255

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A

Applicant(s): Jeffrey Tze Fei WONG et al. Group Art No.: 1636
 Serial No.: 09/827,255 Examiner: M. M. Schmidt
 Filing Date: April 5, 2001
 For: COMPOSITIONS AND METHODS FOR THE TARGETED DELIVERY OF
 AGENTS TO TREAT LIVER CANCER

Hon. Commissioner for Patents
 Washington DC 20231

Dear Sir:

In response to the Office Action mailed November 6, 2001, Applicants submit the following amendment and response.

AMENDMENT AND RESPONSE

PLEASE AMEND THE APPLICATION AS FOLLOWS:

Please amend Claims 3, 4, 6 & 8 to read as follows:

AKB
SC

3. (Amended) The composition of claim 2, wherein the polynucleotide is selected from the group consisting of cDNA encoding a therapeutic protein, a ribozyme, and antisense DNA.

4. (Amended) The composition of claim 1, wherein the therapeutic agent is selected from the group consisting of a cytotoxic drugs and a protein.